



**PART 2B OF FORM ADV: BROCHURE SUPPLEMENT**

**George R. Plock, Jr. AIF®, NSSA®**

American Wealth Executive, LLC

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This Brochure Supplement provides information about George R. Plock (CRD# 4719249) that supplements the Great Valley Advisor Group, Inc. ("GVAG") Brochure. You should have received a copy of that Brochure. Please contact the GVAG office at 302-483-7200 if you did not receive GVAG's Brochure or if you have any questions about the contents of this supplement.

Additional information regarding George R. Plock pertaining to any disciplinary actions or outside business activities, if applicable, is available on the SEC's website at [www.adviserinfo.ses.gov](http://www.adviserinfo.ses.gov) or the FINRA Broker Check website [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**DOB:** January 2, 1980

### **Education:**

Newton High School

- FINRA exams successfully passed:
  - Series 65 Uniform Investment Adviser Law, 5/14/2015

### **Professional Certifications:**

**Accredited Investment Fiduciary® (AIF®)**: Accredited Investment Fiduciary designation is awarded from the Center for Fiduciary Studies, LLC. AIF®

**National Social Security Advisor (NSSA®)** certification: certification is awarded by National Social Security Association, LLC

### **Business Experience:**

**Great Valley Advisor Group**, Investment Advisory Services, Asset Management and Financial Planning  
Investment Adviser Representative  
11/2025 – Present

**LPL Financial**, Investment Advisory Services, Asset Management IAR  
Financial Advisor  
04/2022 – Present

**J.W. Cole Andrews, Inc.**, Investment Advisory Services, Asset Management IAR  
Financial Advisor  
09/2023 – 10/2024

**Great Valley Advisor Group**, Investment Advisory Services, Asset Management and Financial Planning  
Investment Adviser Representative  
01/2021 – 09/2023

**Thrive Capital Management, LLC.**  
Financial Advisor  
06/2017 - 12/2020

**Parkland Securities, LLC/Sigma Planning Corp**  
Financial Advisor  
11/2013 - 05/2017

**R. Seelaus & Co. Inc**  
Financial Advisor  
11/2012 - 10/2013

### ITEM 3 – DISCIPLINARY INFORMATION

We must disclose any legal or disciplinary event that would be material to you when evaluating Mr. Plock, Jr. We have no such legal or disciplinary history to report to you.

### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Plock, Jr. holds an insurance license to sell insurance products. It is anticipated that a small portion, less than (20%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity will create a conflict of interest with clients. However, since this activity represents less than 20% of his time and income, it is presumed not to be substantial.

### ITEM 5 - ADDITIONAL COMPENSATION

The above business associations do not provide any additional compensation other than as described in GVAG's Form ADV 2A.

### ITEM 6 - SUPERVISION

Mr. Plock, Jr. is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Branch Manager, George R Plock, Jr., who is responsible for administering the policies and procedures. Mr. Plock reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

**George Plock's contact information:**

Branch Manager

(973) 919-2830

[gplock@americanwealthexecutives.com](mailto:gplock@americanwealthexecutives.com)