



PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

George R. Plock Jr. AIF®, NISSA®
American Wealth Executives, LLC.
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Great Valley Advisor Group, Inc.
1200 Pennsylvania Avenue
Wilmington, DE 19806

(302) 483-7200

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December 3, 2020

This Brochure Supplement provides information about George R. Plock Jr. (CRD# 4719249) that supplements the Great Valley Advisor Group, Inc. ("GVA") Brochure.

You should have received a copy of that Brochure. Please contact the GVA office at (302) 483-7200 if you did not receive GVA's Brochure or if you have any questions about the contents of this supplement.

Additional information regarding George R. Plock Jr. pertaining to any disciplinary actions or outside business activities, if applicable, is available on the SEC's website at www.adviserinfo.sec.gov or the FINRA Broker Check website, www.finra.org/brokercheck.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

DOB: January 2, 1980

Education: Newton High School

- Series 65 Uniformed Investment Adviser Law Exam, May 14, 2015

Professional Certifications:

Accredited Investment Fiduciary® (AIF®): Accredited Investment Fiduciary designation is awarded from the Center for Fiduciary Studies, LLC. AIF®

National Social Security Advisor (NSSA®) certification: certification is awarded by National Social Security Association, LLC

Business Experience:

Great Valley Advisor Group, Inc., Investment Advisory Services, Asset Management IAR
Financial Advisor
12/2020 - Present

ThriveCapital Management, LLC.
Financial Advisor
06/2017 - 12/2020

Parkland Securities, LLC/Sigma Planning Corp
Financial Advisor
11/2013 - 05/2017

R. Seelaus & Co. Inc
Financial Advisor
11/2012 - 10/2013

BCG Securities, Inc.
Financial Advisor
08/2008 - 11/2012

First Investors Corp.
Senior Financial Consultant
11/2003 - 07/2008

ITEM 3 – DISCIPLINARY INFORMATION

We must disclose any legal or disciplinary event that would be material to you when evaluating Mr. Plock Jr. We have no such legal or disciplinary history to report to you.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Plock Jr. holds an insurance license to sell limited insurance products. It is anticipated that a small portion, less than five (5%) of his time, will be spent providing these insurance products.

He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity will create a conflict of interest with clients. However, since this activity represents less than 5% of his time and income, it is presumed not to be substantial.

ITEM 5 - ADDITIONAL COMPENSATION

The above business associations do not provide any additional compensation other than as described in the GVA's Form ADV 2A.

ITEM 6 - SUPERVISION

Mr. George R. Plock Jr. supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Ryan C. Todd who is responsible for administering the policies and procedures.

Mr. Todd reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Ryan C. Todd's contact information:

Ryan C. Todd
Chief Compliance Officer
(302) 483-7200
rtodd@greatvalleyadvisors.com